



**CERTIFICATE OF DISCLOSURE
(INITIAL PERMIT)**

I, the undersigned applicant, hereby certify that (please initial and/or provide the requested information where applicable):

_____ None of the off-track facility owners, managers, or employees, and, in the case of a corporation, its principal officers, directors, and principal shareholders have ever been convicted by a court of competent jurisdiction of any felony or any violation of law or regulation related to racing, wagering, or gaming in any jurisdiction.

_____ None of the off-track facility owners, managers, or employees, and, in the case of a corporation, its principal offices, directors, and principal shareholders, have ever been adjudicated, in any civil action or administration proceeding, to be responsible for violation of any law or regulation related to racing, wagering or gaming in any jurisdiction.

For any person or persons who have been convicted or held responsible or are subject to one or more of the statements above, the following information MUST be attached:

1. Full name and prior name(s) used
2. Full birth name
3. Present home address
4. Prior addresses for the immediately preceding 7-year period.
5. Date and location of birth
6. Social Security number.
7. The nature and description of each conviction or judicial or administrative action, date and location, the court and public agency involved and file or cause number of the case.

_____ The information contained within this application is true and accurate and I have not made any false, incomplete, or misleading statements on or in connection with this application.

Under penalties of law, the undersigned applicant declares that he/she has examined this Certificate, including any attachments, and to the best of my knowledge and belief, it is true, correct, and complete.

(Signature)

DATE _____

(Title)